

# ADVANCED ENERGY INDUSTRIES INC

## FORM SC 13G/A (Amended Statement of Ownership)

Filed 2/12/2002

Address	1625 SHARP POINT DR FT COLLINS, Colorado 80525
Telephone	970-221-4670
CIK	0000927003
Industry	Electronic Instr. & Controls
Sector	Technology
Fiscal Year	12/31

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
WASHINGTON, D.C. 20549

**SCHEDULE 13G**  
(RULE 13D-102)

(AMENDMENT NO. 3 )(1)

**Advanced Energy Industries, Inc.**

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(Name of Issuer)

**Common Stock, \$0.001 par value**

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(Title of Class of Securities)

007973 10 0

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(CUSIP Number)

SEPTEMBER 21, 2001

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(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule  
is filed:

☐ Rule 13d-1(b)  
☐ Rule 13d-1(c)  
☒ Rule 13d-1(d)

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(1) The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. 007973 10 0

13G

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1. NAME OF REPORTING PERSONS  
I.R.S. IDENTIFICATION NO. OF ABOVE PERSONS (ENTITIES ONLY)

Douglas S. Schatz

2. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\*

(a) / /  
(b) / /

3. SEC USE ONLY

4. CITIZENSHIP OR PLACE OF ORGANIZATION  
United States

NUMBER OF SHARES 5. SOLE VOTING POWER  
10,857,782

BENEFICIALLY OWNED BY EACH 6. SHARED VOTING POWER  
180,000

REPORTING PERSON WITH 7. SOLE DISPOSITIVE POWER  
10,857,782

8. SHARED DISPOSITIVE POWER  
180,000

9. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

11,037,782

10. CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES \*

/ /

11. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

34.7 %

12. TYPE OF REPORTING PERSON \*

IN

**\*SEE INSTRUCTIONS BEFORE FILLING OUT!**

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**Item 1(a). Name of Issuer**

**Advanced Energy Industries, Inc.**

**Item 1(b). Address of Issuer's Principal Executive Offices**

1625 Sharp Point Drive  
Fort Collins, CO 80525

**Item 2(a). Names of Person Filing**

**Douglas S. Schatz**

**Item 2(b). Address of Principal Business Office or, if None, Residence**

1625 Sharp Point Drive  
Fort Collins, CO 80525

**Item 2(c). Citizenship**

**United States**

**Item 2(d). Title of Class of Securities**

**Common Stock, \$0.001 par value**

**Item 2(e). CUSIP Number**

007973 10 0

**Item 3. If this statement is filed pursuant to Rule 13d-1(b) or 13d-2(b) or (c), check whether the person filing is a:**

Not applicable

**Item 4. Ownership**

(a) Amount Beneficially Owned:

11,037,782 shares

(b) Percent of Class:

34.7 %

(c)	Number of shares as to which such person has: -----	
(i)	sole power to vote or to direct the vote:	10,857,782
(ii)	shared power to vote or to direct the vote:	180,000
(iii)	sole power to dispose or to direct the disposition of:	10,857,782
(iv)	shared power to dispose or to direct the disposition of:	180,000

Mr. Schatz is a trustee of a charitable foundation that is the record holder of 180,000 shares of common stock of the issuer. The three other trustees of the charitable foundation are members of Mr. Schatz' immediate family. Accordingly, Mr. Schatz may be deemed to share with the other trustees voting and dispositive power with respect to the charitable foundation's 180,000 shares. Mr. Schatz disclaims beneficial ownership of the shares held by the charitable foundation.

**Item 5. Ownership of Five Percent or Less of a Class**

Not applicable

**Item 6. Ownership of More than Five Percent on Behalf of Another Person**

Not applicable

**Item 7. Identification and Classification of the Subsidiary Which Acquired**

**the Security Being Reported By the Parent Holding Company**

Not applicable

**Item 8. Identification and Classification of Members of the Group**

Not applicable

**Item 9. Notice of Dissolution of Group**

Not applicable

**Item 10. Certification**

Not applicable

**SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 7, 2002 /s/ Douglas S. Schatz

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**End of Filing**

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